

## 青霉属真菌液体发酵产物化学成分研究

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**摘要:** 青霉属 (*Penicillium* sp.) 真菌的发酵液中提取分离得到 12 个化合物, 其结构经波谱鉴定为 Paenol (1), Orsellinic acid (2), Dibenz[*b, e*] oxepin-6, 11-dione, 1, 9-dihydroxy-3-(hydroxymethyl)-10-methoxy- (3), Dibenz[*b, e*] oxepin-6, 11-dione, 1-hydroxy-3-(hydroxymethyl)-10-methoxy- (4), Janthinone (5), 对羟基苯甲醇 (6), 对羟基苯甲醛 (7), 1, 5-Anhydroxylitol (8), Penicillic acid (9),  $\beta$ -谷甾醇 (10), Ergosta-5, 7, 22-trien-3-ol (11), Demethylcisterol A3 (12)。化合物 12 对五株肿瘤细胞 (HL-60, A549, SMMC-7721, MCF-7, SW480) 具有一定的体外肿瘤生长抑制活性, 其 IC<sub>50</sub> 值分别为 14.29、14.02、13.91、16.45、16.05  $\mu$ M。

**关键词:** 青霉菌; 内酯; 青霉酸

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Chemical Studies on the Liquid Fermentinal Products from the *Penicillium* sp.WU Xiu<sup>1,2</sup>, DING Zhang-gui<sup>3</sup>, KONG Ling-mei<sup>1,2</sup>, ZHU Hua-jie<sup>1\*</sup>, WEN Meng-liang<sup>3\*</sup>, LI Yan<sup>1\*</sup><sup>1</sup>State key laboratory of Phytochemistry and Plant Resources of West of China, Kunming Instituteof Botany, Academy of Sciences Kunming 650204, Yunnan China; <sup>2</sup>Graduate Universityof Chinese Academy of Sciences Beijing 100049, China; <sup>3</sup>Yunnan Institute of Microbiology, Yunnan University, Kunming 650091, China

**Abstract:** There were 13 liquid fermentinal products isolated from the *Penicillium* sp. They were identified as Paenol (1), Orsellinic acid (2), Dibenz[*b, e*] oxepin-6, 11-dione, 1, 9-dihydroxy-3-(hydroxymethyl)-10-methoxy- (3), Dibenz[*b, e*] oxepin-6, 11-dione, 1-hydroxy-3-(hydroxymethyl)-10-methoxy- (4), Janthinone (5), 4-(hydroxymethyl) phenol (6), 4-hydroxybenzaldehyde (7), 1, 5-Anhydroxylitol (8), Penicillic acid (9),  $\beta$ -sitosterol (10), Ergosta-5, 7, 22-trien-3-ol (11), Demethylcisterol A3 (12). Compound 12 showed moderate toxicity against five human tumor cell (HL-60, A549, SMMC-7721, MCF-7, SW480) with IC<sub>50</sub> value of 14.29, 14.02, 13.91, 16.45, 16.05  $\mu$ M, respectively.

**Key words:** *Penicillium* sp.; lactones; Penicillic acid

微生物是一类重要的自然资源。微生物资源的开发利用已产生了巨大的社会和经济效益。青霉素的发现带动了其它抗生素及生物活性物质的寻找和发现, 促使抗生素工业的形成。我国微生物资源丰富, 迄今我们所认识的真菌达 7 万多种, 细菌 5, 000 多种, 放线菌 3, 000 多种。此外微生物易培养及大幅提高产率, 这是其他植物资源无法比拟的<sup>[1]</sup>。青霉菌 (*Penicillium* sp.) 是由云南大学微生物研究所从云南程海中分离得到的一株真菌。本文对其次生代谢产物进行了初步的研究。该菌株经液体摇瓶发酵后, 对其发酵液化学成分的分离与鉴定得到 12 个化合物。

## 1 仪器与材料

Waters AutoSpec Premier P776 和 Bruker HCT/Esquire 型质谱仪; Bruker AM-400, DRX-500 和 Avance III 600 超导核磁共振仪, TMS(四甲基硅烷)为内标; JASCOD IP-370 和 OA AA-55 型数字旋光仪, 浓度 *c* 单位为 g/mL; 薄层色谱和正相柱色谱硅胶(青岛海洋化工); Sephadex LH-20(Pharmacia 公司); ODS(YMC 公司); MCI 树脂(三菱公司)。

青霉菌 (*Myrothecium* sp.) 菌种由云南大学微生物研究所提供。

## 2 培养基

## 2.1 种子培养基

天麻 3 g, H<sub>2</sub>O 100 mL, pH 7.5, 28 °C 培养 48 h。

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## 2.2 发酵培养基

天麻 3 g, H<sub>2</sub>O 100 mL, pH 7.5, 28 °C 培养 72 h。

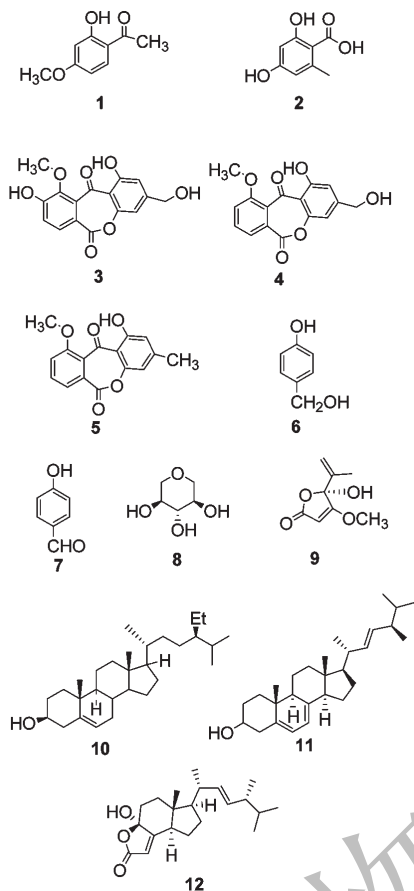


图1 化合物 1-12 的结构

Fig.1 The structure of compounds 1-12

## 3 提取与分离

发酵液 130 L 过大孔树脂, 再用甲醇洗脱后, 用石油醚和乙酸乙酯萃取, 得到乙酸乙酯部位 120 g。用氯仿: 甲醇(40:1 ~ 1:1) 反复梯度洗脱, 分为五个部分。对各部分的化合物用 MCI 脱色和粗分后, 反复硅胶柱层析和用 Sephadex LH-20 凝胶柱色谱, 甲醇冲洗, 以及 HPLC 分析得到 13 个化合物。1 (30 mg), 2 (350 mg), 3 (26 mg), 4 (15 mg), 5 (23 mg), 6 (5 mg), 7 (7 mg), 8 (12 mg), 9 (850 mg), 10 (39 g), 11 (360 mg), 12 (150 mg)。

## 4 结构鉴定

化合物 1 白色片状结晶(石油醚); mp. 48 ~ 50 °C (lit.); <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ: 1.98 (3H, s, -COCH<sub>3</sub>), 3.82 (3H, s, -OCH<sub>3</sub>), 6.47

(1H, d, *J* = 2.5 Hz, H-3), 6.53 (1H, dd, *J* = 8.0, 2.5 Hz, H-5), 7.62 (1H, d, *J* = 8.0 Hz, H-6), 12.64 (1H, s, OH); <sup>13</sup>C NMR (CDCl<sub>3</sub>) δ: 26.0 (-COCH<sub>3</sub>), 55.3 (-OCH<sub>3</sub>), 100.6 (C-3), 107.2 (C-5), 113.7 (C-1), 132.1 (C-6), 164.9 (C-2), 165.8 (C-4), 202.4 (-COCH<sub>3</sub>)。以上数据与文献报道的 Paeonol 基本一致<sup>[2]</sup>。

化合物 2 无色针状结晶; mp. 188 ~ 189 °C; <sup>1</sup>H NMR (methanol-*d*<sub>4</sub>) δ: 6.25 (1H, d, *J* = 2.5 Hz), 6.20 (1H, d, *J* = 2.5 Hz), 2.50 (3H, s)。<sup>13</sup>C NMR (methanol-*d*<sub>4</sub>) δ: 24.2 (CH<sub>3</sub>), 101.5 (C-3), 105.0 (C-1), 112.1 (C-5), 144.9 (C-6), 163.4 (C-3), 167.1 (C-2), 174.16 (COOH)。以上数据与文献报道的 Orsellinic acid 基本一致<sup>[3]</sup>。

化合物 3 黄色粉末; <sup>1</sup>H NMR (DMSO-*d*<sub>6</sub>) δ: 3.84 (3H, s, OCH<sub>3</sub>), 4.58 (2H, brs, CH<sub>2</sub>), 5.53 (1H, t, OH), 6.73 (1H, d, *J* = 1.1 Hz, H-2), 6.98 (1H, d, *J* = 1.1 Hz, H-4), 7.46 (1H, d, *J* = 8.0 Hz, H-6), 7.62 (1H, d, *J* = 8.0 Hz, H-5), 10.47 (1H, s, OH-7), 12.19 (1H, s, OH-1); <sup>13</sup>C NMR (DMSO-*d*<sub>6</sub>) δ: 180.1 (C-9), 167.2 (C-8), 160.8 (C-1), 155.8 (C-12), 154.4 (C-3), 151.1 (C-10), 149.2 (C-7), 125.7 (C-6), 120.5 (C-5), 117.4 (C-13, 14), 107.5 (C-2), 106.9 (C-11), 104.2 (C-4), 62.7 (CH<sub>2</sub>OH), 52.6 (OCH<sub>3</sub>)。以上数据与文献报道的 Dibenz [ *b*, *e* ] oxepin-6, 11-dione, 1, 9-dihydroxy-3-(hydroxymethyl)-10-methoxy-一致<sup>[4]</sup>。

化合物 4 黄色粉末; <sup>1</sup>H NMR (DMSO-*d*<sub>6</sub>) δ: 3.90 (3H, s, OCH<sub>3</sub>), 4.59 (2H, d, *J* = 5.28 Hz, CH<sub>2</sub>), 5.59 (1H, t, *J* = 5.67 Hz, -OH), 6.76 (1H, d, *J* = 1.1 Hz, H-2), 6.99 (1H, d, *J* = 1.1 Hz, H-4), 7.44 (1H, dd, *J* = 8.3, 1.1 Hz, H-7), 7.74 (1H, d, *J* = 7.3, 1.1 Hz, H-5), 7.93 (1H, dd, *J* = 8.3, 7.3 Hz, H-6), 12.05 (1H, s, OH-1); <sup>13</sup>C NMR (DMSO-*d*<sub>6</sub>) δ: 180.1 (C-9), 168.7 (C-8), 160.5 (C-1), 155.5 (C-12), 155.4 (C-10), 154.4 (C-3), 136.0 (C-6), 133.0 (C-14), 122.9 (C-7), 119.7 (C-5), 116.6 (C-13), 107.7 (C-2), 106.9 (C-11), 104.2 (C-4), 62.7 (CH<sub>2</sub>OH), 52.6 (OCH<sub>3</sub>)。以上数据与文献报道的 Janthinone 一致<sup>[5]</sup>。

化合物 5 黄色粉末; <sup>1</sup>H NMR (DMSO-*d*<sub>6</sub>) δ: 2.43 (3H, s, CH<sub>3</sub>), 4.03 (3H, s, OCH<sub>3</sub>), 6.63 (1H, d, *J* = 1.1 Hz, H-2), 6.75 (1H, d, *J* = 1.1 Hz, H-4),

7.30 (1H, dd,  $J = 8.3, 1.1$  Hz, H-7), 7.52 (1H, d,  $J = 7.3, 1.1$  Hz, H-5), 7.75 (1H, dd,  $J = 8.3, 7.3$  Hz, H-6), 12.15 (1H, s, OH-1);  $^{13}\text{C}$  NMR (DMSO- $d_6$ )  $\delta$ : 180.4 (C-9), 169.7 (C-8), 161.4 (C-1), 155.9 (C-12), 155.6 (C-10), 149.4 (C-3), 136.0 (C-6), 133.0 (C-14), 122.4 (C-7), 119.4 (C-5), 111.7 (C-13), 107.4 (C-2), 107.4 (C-11), 106.9 (C-4), 53.1 (OCH<sub>3</sub>), 22.6 (CH<sub>3</sub>)。以上数据与文献报道的 Janthinone 一致<sup>[4]</sup>。

**化合物 6** 无色针晶;  $^1\text{H}$  NMR (DMSO- $d_6$ )  $\delta$ : 9.21 (1H, s, OH), 7.10 (2H, d,  $J = 8.1$  Hz, H-3, 5), 6.69 (2H, d,  $J = 8.1$  Hz, H-2, 6), 4.92 (1H, s, CH<sub>2</sub>OH), 4.35 (2H, s, CH<sub>2</sub>OH);  $^{13}\text{C}$  NMR (DMSO- $d_6$ )  $\delta$ : 156.2 (C-1), 132.8 (C-4), 128.1 (C-3, C-5), 114.8 (C-2, C-6), 62.8 (CH<sub>2</sub>OH)。以上数据与文献中报道羟基苯甲醇一致。

**化合物 7** 无色针状结晶;  $^1\text{H}$  NMR (acetone- $d_6$ )  $\delta$ : 9.90 (1H, s), 9.40 (1H, s, br), 7.80 (2H, d,  $J = 8.7$ ), 7.01 (2H, d,  $J = 8.7$ );  $^{13}\text{C}$  NMR (acetone- $d_6$ )  $\delta$ : 191.0 (CHO), 163.9 (C-4), 132.8 (C-2, 6), 130.5 (C-1), 116.7 (C-3, 5)。以上数据与文献报道的一致, 故确定为对羟基苯甲醛<sup>[6]</sup>。

**化合物 8** 无色针晶;  $^{13}\text{C}$  NMR (DMSO- $d_6$ )  $\delta$ : 71.43 (C-3), 69.78 (C-2, C-4), 63.95 (C-1, C-5)。以上数据与文献报道的 1,5-Anhydroxylitol 一致<sup>[7]</sup>。

**化合物 9** 无色针晶;  $^1\text{H}$  NMR (CDCl<sub>3</sub>)  $\delta$ : 1.75 (3H, s, -CH<sub>3</sub>), 3.9 (3H, s, -OCH<sub>3</sub>), 5.13 (H, s, =CH-), 5.17, 5.47 (2H, s, =CH<sub>2</sub>), 5.74 (H, s, -OH);  $^{13}\text{C}$  NMR (CDCl<sub>3</sub>)  $\delta$ : 17.54 (C-7), 60.14 (C-8), 89.54 (C-2), 103.56 (C-4), 116.74 (C-6), 139.66 (C-5), 172.08 (C-1), 179.67 (C-3)。以上数据与文献报道的 Penicillic acid 一致<sup>[8]</sup>。

**化合物 10** 白色粉末;  $^1\text{H}$  NMR (CDCl<sub>3</sub>)  $\delta$ : 0.66 (3H, s, CH<sub>3</sub>-18), 0.82 (3H, d,  $J = 6.0$  Hz, CH<sub>3</sub>-27), 0.83 (3H, d,  $J = 6.0$  Hz, CH<sub>3</sub>-26), 0.84 (3H,  $J = 7.0$  Hz, CH<sub>3</sub>-29), 0.87 (3H, d,  $J = 7.0$  Hz, CH<sub>3</sub>-26), 3.52 (1H, m, H-3), 5.35 (1H, m, H-6);  $^{13}\text{C}$  NMR (CDCl<sub>3</sub>)  $\delta$ : 11.9 (C-18), 12.0 (C-29), 18.8 (C-26), 19.0 (C-21), 19.4 (C-19), 19.8 (C-27), 21.1 (C-11), 23.0 (C-28), 24.3 (C-15), 25.9 (C-25), 28.3 (C-16), 29.4 (C-23), 31.6 (C-1), 31.8 (C-8), 34.0 (C-22), 36.2 (C-20), 36.5 (C-10), 37.2 (C-1), 39.7 (C-12), 42.3 (C-12), 45.9

(C-24), 47.9 (C-13), 50.2 (C-9), 56.0 (C-17), 56.7 (C-14), 71.8 (C-3), 121.7 (C-11), 140.7 (C-9)。以上波谱数据与文献<sup>[9]</sup>报道的  $\beta$ -谷甾醇一致。

**化合物 11** 无色针状晶;  $^{13}\text{C}$  NMR (CDCl<sub>3</sub>)  $\delta$ : 141.3 (C-8), 140.8 (C-5), 136.1 (C-22), 132.2 (C-23), 119.6 (C-6), 117.2 (C-7), 69.9 (C-3), 56.0 (C-17), 54.8 (C-14), 46.7 (C-9), 43.1 (C-24), 43.1 (C-13), 42.0 (C-4), 40.7 (C-20), 39.4 (C-12), 39.0 (C-1), 37.5 (C-10), 33.4 (C-25), 33.0 (C-2), 28.7 (C-16), 23.4 (C-15), 21.5 (C-11), 21.4 (C-21), 20.2 (C-27), 19.8 (C-26), 17.8 (C-28), 16.6 (C-19), 12.2 (C-18)。EI-MS 显示 396[M]<sup>+</sup>。以上数据与文献报道的 ergost-5,7,22-trien-3-ol 一致<sup>[10]</sup>。

**化合物 12** 无色油状物;  $^1\text{H}$  NMR (CDCl<sub>3</sub>) 0.61 (3H, s, H-12), 0.83 (3H, d,  $J = 6.8$  Hz, H-19), 0.84 (3H, d,  $J = 6.8$  Hz, H-20), 0.92 (3H, d,  $J = 6.8$  Hz, H-21), 1.04 (3H, d,  $J = 6.6$  Hz, H-14), 2.27 (1H, ddd,  $J = 14.1, 4.0, 2.4$  Hz, H-5eq), 2.64 (1H, ddd,  $J = 11.9, 6.8, 1.7$  Hz, H-8), 5.17 (1H, dd,  $J = 15.3, 8.3$  Hz, H-15), 5.26 (1H, dd,  $J = 15.3, 7.7$  Hz, H-16), 5.63 (1H, d,  $J = 1.8$  Hz, H-2);  $^{13}\text{C}$  NMR (CDCl<sub>3</sub>) 11.7 (C-12), 17.6 (C-18), 19.6 (C-20), 20.0 (C-21), 21.0 (C-14), 21.4 (C-9), 28.8 (C-10), 33.0 (C-19), 35.0 (C-5), 35.3 (C-6), 40.1 (C-13), 42.8 (C-17), 48.8 (C-7), 50.3 (C-8), 55.3 (C-11), 104.7 (C-4), 112.3 (C-2), 132.9 (C-16), 134.6 (C-15), 170.5 (C-3), 170.7 (C-1)。ESI-MS  $m/z$ : 355 [M + Na]<sup>+</sup>。以上数据与文献报道的 Demethylcisterol A3 一致<sup>[11]</sup>。

## 5 细胞毒活性

**化合物 12** 按照 MTT 方法, 用 HL-60、SMMC-7721、A-549、MCF-7、SW480 五株肿瘤细胞株对化合物进行了 40  $\mu\text{M}$  浓度的初筛, 以在此浓度下对于肿瘤细胞生长抑制率到达 50% 左右的化合物进入梯度浓度复筛。与阳性对照药物顺铂 (MW300) 及紫杉醇相比, 化合物 12 具有一定的体外肿瘤生长抑制活性, 其 IC<sub>50</sub> 值分别为 14.29、14.02、13.91、16.45、16.05  $\mu\text{M}$ 。

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